

Use this form to

- Request the value of a J.P. Morgan Securities LLC (**JPMS**) account on a specific date for a living or deceased account holder or trustor/trustee, and/or request a step-up in cost basis from JPMS.

What you need to know

- Only a beneficiary (e.g., individual, estate, trust) of a deceased account holder's account may request a step-up in cost basis from JPMS.
- A copy of the death certificate must accompany this form when the request is due to death.
- Executors must provide a court appointment dated within 1 year, if not previously provided.
- Trustees must provide necessary trust-related documents if requesting a valuation on a trust account.
- While information will be obtained from sources we consider reliable, JPMS does not guarantee its accuracy or use for a particular purpose, and it may be incomplete or condensed. Clients should refer to their statement for additional information.
- JPMS does not provide tax or legal advice. Please consult with your tax or legal professional before making decisions.

1. Valuation Details

A death certificate is needed to process this request.

Type of valuation requested should relate to the valuation date.

- Alternate valuations are calculated using the average pricing method exactly 6 months from the date of death. If there are securities sold or distributed out of the account within the 6 months, the values will be calculated on that date.
- Point-in-time valuations are calculated based on closing price methodology, which uses the market's closing price of each security on the date requested.
- Date of death valuations are calculated using the mean average price method, which takes the high and low prices of each security on the date requested and averages their values.

What type of valuation are you requesting? (select one)

- ☐ Date of death (Inverse Weighted Mean Pricing)
- ☐ Point in time (Closing Price)
- ☐ Alternate date (Average Pricing)

What is the valuation date (month/day/year)?

What account number held the assets on the valuation date?

INVESTMENT AND INSURANCE PRODUCTS:
• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE

Account Holder/Beneficiary/Executor/Trustee Name

Account Number

**Valuation/Cost Basis Modification (Step-up)
Request**

*The signature section and
notary section must be
completed to have the
valuation mailed.*

Do you want the completed valuation mailed?

- ☐ Yes (this form must be signed and notarized)
☐ No

Account Holder/Beneficiary/Executor/Trustee Name

Mailing Address (PO Box may NOT be used for overnight delivery; delivery to a financial institution is not permitted.)

City

State

ZIP Code

2. Request to Step Up Cost Basis (for date of death valuations only)

- A step-up in cost basis applies the calculated date of death security values as the beneficiaries' cost basis.
- A step-up in cost basis can be applied to the securities (a) in the decedent's account prior to a distribution or sale transaction, or (b) in the receiving account after the distribution or sale transaction.

What type of step-up in cost basis are you requesting? (select one)

- ☐ No step-up
- ☐ Half step-up (all account holders/beneficiaries/executors/
trustees must sign)
- ☐ Full step-up (all account holders/beneficiaries/executors/
trustees must sign)

Account Number Currently Holding Assets

Account Number Currently Holding Assets

Account Holder/Beneficiary/Executor/Trustee Name

Account Number

For brokerage accounts only.

This form must be submitted within 60 calendar days of signature date.

3. Account Holder/Beneficiary/Executor/Trustee Signature(s)

For a valuation, only the signature of the account holder, beneficiary, executor or trustee is needed.

For a request in step-up in cost basis, the following signatures are required:

- For a step-up to occur in a decedent's account with a court-appointed executor or an estate account, all executors must sign and must provide a court appointment dated within 1 year, if not previously provided.
- For the step-up to occur in the decedent's account with one or more beneficiaries, all beneficiaries must sign.
- For the step-up to occur within a trust account, all trustees must sign.
- For the step-up to occur within all other accounts, the account holder of the respective account must sign.

Account Holder/Beneficiary/Executor/Trustee Name

Account Holder/Beneficiary/Executor/Trustee Signature

Date (month/day/year)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF _____)

COUNTY OF _____)

Subscribed, sworn to and acknowledged before me by

_____,
Name of Document Signer (above)

this _____ day of _____, _____.
Day Month Year

Signature of Notary Public

Place Stamp Here

My commission expires _____

Account Holder/Beneficiary/Executor/Trustee Name

Account Number

For brokerage accounts
only.

This form must be
submitted within 60
calendar days of signature
date.

Additional Signer

Additional Signer Name

Additional Signer Signature

Date (month/day/year)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF _____)

COUNTY OF _____)

Subscribed, sworn to and acknowledged before me by

Name of Document Signer (above)this _____ day of _____, _____
Day Month Year_____
Signature of Notary Public

My commission expires _____

Place Stamp Here

Submission Instructions

This form must be submitted within 60 calendar days of signature date.

Secure Message

(For brokerage accounts
only.) For instructions on
how to submit this request
by secure message, visit
[www.chase.com/
brokerageforms](http://www.chase.com/brokerageforms).

Email

[cwm.estates.smartforms@
chase.com](mailto:cwm.estates.smartforms@chase.com)

Mail

J.P. Morgan Securities LLC
Attn: Estate Services
Mail Code: IL1-0817
10 S. Dearborn St.
Chicago, IL 60603

Account Holder/Beneficiary/Executor/Trustee Name_____
Account Number