

J.P. Morgan Securities LLC
Member FINRA and SIPC

You can submit this form and any attachments by:

Standard Mail:

JPMS Lockbox 21002
PO Box 44987
Indianapolis, IN 46244-0987

Overnight Mail:

JPMS Lockbox 21002
7610 W. Washington St.
Floor 1
Indianapolis, IN 46231-1335

If you have questions, send us a secure message on chase.com or call us at (800) 392-5749.

Use this form to

- Deposit a check into a J.P. Morgan Securities LLC (**JPMS**) money purchase plan, profit-sharing or qualified plan investment account.

What you need to know

- JPMS qualified plan investment account is an investment-only account for a defined contribution or defined benefit plan. For these accounts, the plan utilizes its own plan document, plan administrator, actuary and/or Third-Party Administrator (**TPA**).
- JPMS money purchase and profit sharing plan account(s) are established for the employer for plan participants. For these accounts, the plan utilizes plan document services provided by Ascensus as well as its own plan administrator and/or TPA; however, applicable 1099-R IRS tax reporting is provided by JPMS.
- Deposited funds will be available for withdrawal on the 8th business day after deposit.
- We are unable to accept altered or incomplete checks, checks dated more than 180 days prior to receipt, third-party checks, money orders, starter or counter checks, foreign checks or traveler's checks.
- We accept checks payable to "J.P. Morgan Securities LLC," "JPMS" or the account holder.
- A contribution cannot exceed the annual contribution limit set by the IRS. JPMS monitors annual contribution limits for money purchase and profit sharing plan account(s) but not qualified plan investment accounts.
- If the tax year is not provided, your money purchase and profit sharing plan account contribution will be reported as a current year contribution.
- For your protection, a representative may call you to confirm this transaction before processing it.
- For best results, complete this form using Adobe Reader. You will need to print a copy for your signature.
- Keep a copy of this request for your records.

1. Tell Us About Your Account

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Account Holder Name

Account Number

- ☐ Money Purchase Plan/Profit Sharing Plan
 ☐ Qualified Plan Investment Account

Money Purchase Plan/Profit Sharing Plan definitions:

Direct Rollover: A deposit of assets that were distributed from an employer-sponsored retirement plan (e.g., a 401(k), 403(b), profit sharing plan) and made directly payable to your qualified retirement plan account.

Rollover: A deposit of assets that were distributed from an employer-sponsored plan or an IRA that were made directly payable to you on the face of the check.

Direct Transfer: A deposit of assets that is being made directly payable from and to an qualified retirement plan of the same type for the same employer (i.e., ABC Company Profit Sharing Plan assets must transfer to ABC Company Profit Sharing Plan account).

2. Tell Us About the Type of Deposit You are Making

Money Purchase Plan or Profit Sharing Plan Account

- ☐ Current Year Contribution (CON)
☐ Prior Year Contribution (CONB)
☐ Direct Rollover (ROLD)
☐ Rollover (ROLL) (Note: This is used for indirect rollovers.)
☐ Direct Transfer (TFRR)

Qualified Plan Investment Account

- ☐ Investment Account Deposit

INVESTMENT AND INSURANCE PRODUCTS:

• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE

3. Tell Us About the Check Being Deposited

Check Number

Dollar Amount on the Check

Tax Year *(provide only for contributions)*

Instructions:

- Endorse the back of the check with "**For Deposit Only.**"
- Write the JPMS Account Number on the face of the check.

4. Account Holder Signature

By signing below, I certify that all of the preceding information is true and correct. I authorize the accepting custodian, JPMS, to process this deposit to the referenced account. I understand that the availability of funds depends on many factors, and JPMS may withhold access to credited funds and checks until items have cleared and good funds have been confirmed as available. I certify that no tax or legal advice has been provided to me by JPMS. Decisions regarding this transaction are my own, and I assume all responsibility for execution of these instructions by JPMS and hold JPMS harmless from any resulting liabilities.

Plan Trustee Signature

Date (month/day/year)
