



Sale of Non-Transferable Security Request

J.P. Morgan Securities LLC
Member FINRA and SIPC

For your protection, a representative may call you at the number on file for your account to confirm this transaction before it is processed.

ACCOUNT HOLDER NAME (PLEASE PRINT IN ALL CAPITAL LETTERS)

JPMS Account Number

Use this form to authorize the sale of a non-transferable security currently held in your J.P. Morgan Securities LLC (JPMS) brokerage account for which there is neither a market nor a transfer agent, meaning it cannot be sold in the market or registered in your name.

Please be aware:

- You must be the current owner of the security being sold from the account.
- Your entire position, as detailed in Section 1, will be sold for proceeds totaling \$1.00.

1. Security Information

Security Description

Quantity

Symbol/CUSIP

2. Instructions

I am currently the owner of the above named security, which is on deposit with JPMS. Because there is either no market or transfer agent, the shares cannot be sold in the market or registered in my name.

I wish to eliminate this position from my brokerage account, and I therefore direct JPMS to take the following action:

☐ I wish to sell my position for the total proceeds of one dollar (\$1.00).

I hereby instruct JPMS to effect this sale, which will generate a confirmation to me and will be irreversible. I understand that JPMS will be the buyer in this transaction and that JPMS makes no representation about the current or future value, if any, of this security or any earnings related thereto. In addition, if my account is an individual retirement account or otherwise holds plan assets subject to the Employee Retirement Income Security Act of 1974 and/or Section 4975 of the Internal Revenue Code of 1986 (collectively, a **Retirement Account**), I acknowledge that I do not consider JPMS or any representatives of JPMS (collectively, **J.P. Morgan**) to be a fiduciary with respect to the Retirement Account (and am aware that such a relationship need not be a formal one), including, without limitation, in connection with the sale contemplated herein. Accordingly, I understand and agree that:

- J.P. Morgan does not, nor will it, have discretionary authority or discretionary control respecting management of the Retirement Account, or any authority or control respecting management or disposition of the Retirement Account's assets or investments.
- J.P. Morgan does not, nor will it, render advice on a regular basis which is individualized based on the particular needs of the Retirement Account pursuant to a mutual agreement, arrangement or understanding that such advice shall serve as a primary basis for investment decisions by me with respect to the Retirement Account.

INVESTMENT AND INSURANCE PRODUCTS:
• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE

ACCOUNT HOLDER NAME (PLEASE PRINT IN ALL CAPITAL LETTERS)

JPMS Account Number

3. Account Holder Signature(s)

PLEASE NOTE: If you require more than two account holder signatures, please submit an additional copy of the *Sale of Non-Transferable Security Request* form.

Account Holder Signature

Date (month/day/year)

Joint Account Holder Signature (if applicable)

Date (month/day/year)

4. Notary - section must be completed by a Notary Public

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF _____)
) SS:

COUNTY OF _____)

Subscribed, sworn to and acknowledged before me by

Name of Document Signer (above)this _____ day of _____ , _____ .
Day Month Year_____
Signature of Notary Public

My commission expires _____

Place Stamp Here

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF _____)
) SS:

COUNTY OF _____)

Subscribed, sworn to and acknowledged before me by

Name of Document Signer (above)this _____ day of _____ , _____ .
Day Month Year_____
Signature of Notary Public

My commission expires _____

Place Stamp Here

Reference Sheet Only - do not send this page

Please keep a copy of this form for your records.

After reviewing and signing this form, please select how you'd like to submit it:

- **Fax:** Send the form and any attachments to (866) 786-4782.
- **Email:** Please visit www.chase.com/brokerageforms for instructions on how to submit this completed document and any attachments.
- **Regular or Overnight Mail:** send the form and any attachments to:

J.P. Morgan Securities LLC

Attn: Order Support

Mail Code: IL1-0817

10 S. Dearborn St.

Chicago, IL 60603-5506

Questions? Please call us at (800) 648-4782.