

# J.P.Morgan

## WEALTH MANAGEMENT

### Commission Schedule for J.P. Morgan Self-Directed Investing

#### PLEASE READ CAREFULLY

This Commission Schedule applies to J.P. Morgan Self-Directed Investing. Please refer to the Fee Schedule - J.P. Morgan Self Directed on the next page for additional information about fees and expenses associated with your account and transactions. Please note that commissions, fees, expenses and other information are subject to change.

	ONLINE	REPRESENTATIVE-ASSISTED
<b>U.S. LISTED STOCKS &amp; EXCHANGE-TRADED FUNDS<sup>1,2</sup></b>	\$0.00   Trade <sup>3</sup>	\$25.00   Trade
<b>OPTIONS<sup>1,4</sup></b>	\$0.00   Trade <sup>3</sup> + \$0.65 / Contract	\$25.00   Trade + \$0.65 / Contract
	\$0.00   Exercise or assignment (either early or automatic) <sup>3</sup>	
<b>MUTUAL FUNDS<sup>5</sup></b>	\$0.00   Transaction	\$20.00   Trade Transaction
<b>FIXED INCOME<sup>6</sup> (1 BOND EQUIVALENT TO 1,000 PAR VALUE)</b>		
<b>US TREASURY BILLS NOTES &amp; BONDS</b> <small>SECONDARY TRADES ONLY</small>	\$0.00	\$0.00
<b>SECONDARY MARKET<sup>7</sup></b> <small>CORPORATE BONDS, MUNICIPAL BONDS, GOVERNMENT AGENCY BONDS, BROKERED CDS</small>	\$10.00   Trade + \$1.00 / Bond Over 10 Bonds (\$250 maximum)	\$30.00   Trade + \$1.00 / Bond Over 10 Bonds (\$270 maximum)
<b>NEW ISSUES<sup>8</sup></b> <small>BROKERED CDS</small>	\$0.00 (selling concession included in price)	\$0.00 (selling concession included in price)

Other fees and costs, including fees intended to offset fees charged by certain regulatory bodies and costs for foreign currency transactions, foreign clearing charges and safekeeping, may apply.

Certain products and order types, including low-priced securities, block and algorithmic trades, are not available to J.P. Morgan Self-Directed Investing accounts.

INVESTMENT AND INSURANCE PRODUCTS ARE:  
• NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY • NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES • SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPLE AMOUNT INVESTED

## IMPORTANT INFORMATION

<sup>1</sup> Other fees and charges may apply, including but not limited to fees intended to offset fees charged by certain regulatory bodies, and costs for foreign currency transactions, foreign clearing charges and safekeeping, ADR related fees and other fees JPMS LLC may incur as a result of servicing your investment account.

<sup>2</sup> There are costs associated with owning ETFs. Before investing in ETFs, you should consider the funds' investment objectives, risks, charges and expenses. Contact the service center for a prospectus or, if available, a summary prospectus containing this information. Read it carefully. ETFs are subject to market fluctuation and the risks of their underlying investments; ETFs are also subject to management fees and other expenses. Unlike mutual funds, ETF shares are bought and sold at market price, which may be higher or lower than their net asset value (NAV), and are not individually redeemed from the fund.

<sup>3</sup> Commission-free online trades apply to trading U.S. listed stocks, ETFs and options. Options trades are subject to a \$0.65 per-contract fee.

<sup>4</sup> Options strategies currently available include: covered calls, cash secured puts, protective puts, long equity calls and long equity puts. Options trading involves risk; is not suitable for all investors; and is subject to approval. Before buying and selling options, investors should understand all of their rights and obligations associated with trading options. For information about options trading, including the risks, please review the [Characteristics and Risks of Standardized Options](#).

<sup>5</sup> There are costs associated with owning a mutual fund, such as annual operating fees and expenses. This and other important information is included in the prospectus, which should be read carefully before investing. Investors should carefully consider the investment objectives and risks, as well as charges and expenses of the mutual fund before investing. To obtain a prospectus, call the service center or visit the fund company's website. The prospectus contains this and other information about the mutual fund. Read the prospectus carefully before investing.

<sup>6</sup> Fixed income includes U.S. Treasury bills, notes and bonds, agency discounts, municipal and corporate bonds (including zero coupon bonds) and brokered certificates of deposit. Rates are for U.S. dollar-denominated bonds, additional fees and minimums apply for non-dollar bond trades. Foreign bonds are subject to additional costs for foreign currency translation, foreign clearing charges and safekeeping fees. Treasury auction trades are not available online at this time.

<sup>7</sup> Secondary market of Government Agency bonds is not available online at this time. Municipal bonds could include additional mark-up or mark-down if J.P. Morgan or its affiliate is acting as the market maker on the trade.

<sup>8</sup> New issues of Corporate, Municipal and Government Agency bonds are not available online at this time.

Please also review the applicable regulatory and other disclosures available on J.P. Morgan's public website at: <https://www.jpmorgan.com/country/US/EN/disclosures>

For more information. Please contact the service center if you require further information on any of the fees and charges set out in this Commission Schedule, or on any other fees and charges that may be applicable.

J.P. Morgan Wealth Management is a business of JPMorgan Chase & Co., which offers investment products and services through J.P. Morgan Securities LLC (JPMS), a registered broker-dealer and investment adviser, member FINRA and SIPC. Insurance products are made available through Chase Insurance Agency, Inc. (CIA), a licensed insurance agency, doing business as Chase Insurance Agency Services, Inc. in Florida. Certain custody and other services are provided by JPMorgan Chase Bank, N.A. (JPMCB). JPMS, CIA and JPMCB are affiliated companies under the common control of JPMorgan Chase & Co. Products not available in all states.

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INCLUDING POSSIBLE LOSS OF THE PRINCIPLE AMOUNT INVESTED**

## Fee Schedule for J.P. Morgan Self-Directed Investing

**PLEASE READ CAREFULLY**

This schedule contains information about the fees and charges that apply to your account and your transactions. Please note that fees and other information are subject to change.

<b>BROKERAGE ACCOUNT TRANSFER AND TERMINATION</b>	\$75 - Applies when all assets are transferred out of the account
<b>RETIREMENT ACCOUNT TRANSFER AND TERMINATION</b>	\$75 - Applies when all assets are distributed or transferred out of the account
<b>WIRE TRANSFER</b>	\$25 per wire - This fee does not apply to internal wire transfer Does not apply to Chase Private Clients (CPC)
<b>OVERNIGHT/EXPRESS MAIL</b>	\$10 per item Does not apply to Chase Private Clients (CPC)
<b>STOP PAYMENTS</b>	\$30 per item Does not apply to Chase Private Clients (CPC)
<b>DEBIT BALANCE INTEREST DUE TO FEES, PREPAYMENT, LATE PAYMENT AND OTHER MISCELLANEOUS CHARGES ABOVE FREE CASH AVAILABLE NOT APPLICABLE TO RETIREMENT ACCOUNTS</b>	Prevailing Margin Rate <sup>1</sup> <ul style="list-style-type: none"> <li>• \$0 to \$25,000: Prime + 4.75%</li> <li>• \$25,001 to \$50,000: Prime + 4.50%</li> <li>• \$50,001 to \$100,000: Prime + 4.00%</li> <li>• \$100,001 to \$500,000: Prime + 3.75%</li> <li>• \$500,001 to \$1,000,000: Prime + 3.00%</li> <li>• \$1,000,001 to \$3,000,000: Prime + 2.50%</li> <li>• \$3,000,001 to \$10,000,000: SOFR + 2.35%</li> <li>• \$10,000,001 and above: SOFR + 1.85%</li> </ul>
<b>SAFEKEEPING</b>	\$10 - per position, per month Does not apply to Chase Private Clients (CPC)

<sup>1</sup>The annual rate of interest that you will be charged on any U.S. dollar denominated credit is based on either the Prime interest rate (Prime) or the Secured Overnight Financing Rate (SOFR). The Prime is an important index used by banks to set rates on many consumer loan products, such as credit cards or auto loans. The Prime will move up or down in lockstep with changes made by the Federal Reserve Board. SOFR is a benchmark for dollar-denominated derivatives and loans. SOFR is based on transactions in the Treasury repurchase market.

Please access your account at [chase.com](https://chase.com) or via the Chase Mobile app for information on how to go paperless.

The Prevailing Margin Rate can be found at [https://investment.jpmorgan.com/content/dam/jpm/regulation-best-interests/Guide\\_to\\_Investment\\_Services\\_and\\_Brokerage\\_Products.pdf](https://investment.jpmorgan.com/content/dam/jpm/regulation-best-interests/Guide_to_Investment_Services_and_Brokerage_Products.pdf)

J.P. Morgan Wealth Management is a business of JPMorgan Chase & Co., which offers investment products and services through J.P. Morgan Securities LLC (JPMS), a registered broker-dealer and investment adviser, member FINRA and SIPC. Insurance products are made available through Chase Insurance Agency, Inc. (CIA), a licensed insurance agency, doing business as Chase Insurance Agency Services, Inc. in Florida. Certain custody and other services are provided by JPMorgan Chase Bank, N.A. (JPMCB). JPMS, CIA and JPMCB are affiliated companies under the common control of JPMorgan Chase & Co. Products not available in all states.

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