

Direct Rollover Instruction from an Employer Sponsored Retirement Plan

J.P. Morgan Securities LLC

Member FINRA and SIPC

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If your current employer-sponsored qualified retirement plan Custodian requires IRA Custodian acceptance and such provider's rollover paperwork does not include an IRA Custodian acceptance signature block, please submit a new Secure Message via Chase.com or contact us at (800) 392-5749 before completing this form.

ACCOUNT HOLDER NAME (PLEASE PRINT IN ALL CAPITAL LETTERS)

JPMS Account Number

This form is FOR REFERENCE USE ONLY. The information is intended to assist participants in an employer-sponsored qualified retirement plan when completing the Disbursing Custodian's rollover paperwork.

1. Participant/Beneficiary Information

I am the (select one):

Participant Spouse Beneficiary Non-Spouse Beneficiary

Legal/Residential Address (no P.O. Box or "Care Of" address)

City

State

Zip Code

2. J.P. Morgan Securities LLC — Receiving Custodian Account Information

JPMS Retirement Account Number

Receiving Retirement Account Type (select one)

Traditional IRA Roth IRA SEP-IRA Traditional Beneficiary IRA Roth Beneficiary IRA

3. J.P. Morgan Securities LLC Direct Rollover Delivery Options

Send by Check:

Check Instructions:

Make check payable to:

J.P. Morgan Securities LLC

FBO: (Client's Name)

Include Account Number, state "Direct Rollover" on check memo line

Overnight Delivery:

JPMorgan Chase - Lockbox Processing

Attention: JPMS LOCKBOX 21002

7th Floor East

4 Chase Metrotech Center

Brooklyn, NY 11245

Regular Mail:

J.P. Morgan Securities LLC

P.O. Box 21002

New York, NY 10087-1002

Send by Wire:

Wire Instructions:

J.P. Morgan Securities LLC

ABA 021000021

DDA 066001633

For Further Credit to:

(Client's Name)

(Acct #)

Further Instructions/Reference Details: "Direct Rollover"

INVESTMENT AND INSURANCE PRODUCTS ARE:

- NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
- NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
- SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED