

J.P. Morgan Securities LLC
Member FINRA and SIPC

You can submit this form and the check by:

Regular Mail

JPMS Lockbox 21002
PO Box 44987
Indianapolis, IN 46244-0987

Overnight Mail

JPMS Lockbox 21002
7610 W. Washington St.,
Floor 1
Indianapolis, IN 46231-1335

If you have questions, send us a secure message on chase.com or contact us at (800) 392-5749.

Use this form to

- Deposit a check into a J.P. Morgan Securities LLC (**JPMS**) investment account, including a Traditional IRA, Roth IRA, SEP-IRA, SARSEP, Beneficiary IRA or non-retirement investment account.

What you need to know

- Deposited funds will be available for withdrawal on the 8th business day after deposit.
- We are unable to accept altered or incomplete checks, checks dated more than 180 days prior to receipt, third-party checks, money orders, starter or counter checks, foreign checks or traveler's checks.
- We accept checks payable to "J.P. Morgan Securities LLC," "JPMS" or the account holder.
- You may roll over only one IRA distribution in any 12-month period, regardless of the number of IRAs you own or distributions you take (i.e., one 60-day IRA-to-IRA rollover in a rolling 12-month period).
- You may make unlimited trustee-to-trustee transfers between IRAs of the same type, conversions between Traditional and Roth IRAs, and direct rollovers from a qualified retirement plan to an IRA.
- A contribution cannot exceed the annual contribution limit set by the IRS.
- If the tax year is not provided, your contribution will be reported as a current year contribution.
- For your protection, a representative may call you to confirm this transaction before processing it.
- For best results, complete this form using Adobe Reader. You will need to print a copy for your signature.
- Keep a copy of this request for your records.

1. Tell Us About Your Account

Account Holder Name

Account Number

2. Tell Us About the Type of Deposit You are Making

Direct Rollover: A deposit of assets distributed from an employer-sponsored retirement plan (e.g., a 401(k), 403(b), profit-sharing plan) and made directly payable to your IRA.

Indirect Rollover: A deposit of assets distributed from an employer-sponsored plan or an IRA that were made directly payable to you on the face of the check.

Transfer: A deposit of assets distributed from an IRA and made directly payable to an IRA of the same type (i.e., Traditional IRA assets must transfer to another Traditional IRA).

Traditional IRA

- ☐ Current Year Traditional IRA (CON)
- ☐ Prior Year Traditional IRA (CONB)
- ☐ Direct Rollover (ROLD)
- ☐ Indirect Rollover (ROLL)
- ☐ Transfer (TFRR)

SEP-IRA/SARSEP IRA

- ☐ Current Year SEP-IRA/SARSEP (SEP)
- ☐ Prior Year SEP-IRA/SARSEP (SEPB)
- ☐ Direct Rollover (ROLD)
- ☐ Indirect Rollover (ROLL)
- ☐ Transfer (TFRR)

Roth IRA

- ☐ Current Year Roth IRA (RTH)
- ☐ Prior Year Roth IRA (RTHB)
- ☐ Direct Rollover (ROLD)
- ☐ Indirect Rollover (ROLL)
- ☐ Transfer (TFRR)

Beneficiary IRA

- ☐ Indirect Rollover (ROLL)
- ☐ Transfer (TFRR)

Non-Retirement Account

- ☐ Investment Account Deposit

INVESTMENT AND INSURANCE PRODUCTS:

• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE

3. Tell Us About the Check Being Deposited

Check Number

Dollar Amount of the Check

Tax Year (*provide only for contributions*)**Instructions:**

- Endorse the back of the check with "**For Deposit Only.**"
- Write the **JPMS Account Number** on the face of the check.
- If the deposit is for a retirement account, write the type of deposit in the memo field:
"Contribution for 20YY," "Rollover" or "Transfer."

4. Authorization Signature

By signing below, I certify that the preceding information is true and correct. I authorize the accepting custodian, JPMS, to process this deposit to my retirement brokerage account. I understand that the availability of funds depends on many factors, and JPMS may withhold access to credited funds and checks until items have cleared and good funds have been confirmed as available. I certify that no tax or legal advice has been provided to me by JPMS. Decisions regarding this transaction are my own, and I assume all responsibility for execution of these instructions by JPMS and hold JPMS harmless from any resulting liabilities.

Account Holder Signature

Date (month/day/year)

Account Holder Name

Account Number