

For your protection, a representative may call you at the number on file for your account to confirm this transaction before it is processed.

ACCOUNT HOLDER NAME (PLEASE PRINT IN ALL CAPITAL LETTERS)

JPMS Account Number

Please be aware:

- **Payee & endorsement:** We accept checks payable to "J.P. Morgan Securities LLC," "JPMS" or the **account holder**. Endorse the back of the check with "For Deposit Only."
- **Memos:** For all checks write the J.P. Morgan Securities LLC ("JPMS") account number on the face of the check. Write the type of deposit in the memo line:
 - Contribution for 20YY, or
 - Rollover

1. Account Holder Information

Legal/Residential Address (no P.O. Box or "Care Of" address)

City

State/Province

Zip Code

Primary Telephone Number

2. Contribution or Rollover/Transfer - complete A or B

A. Contribution Detail

Important Note:

- Contributions cannot exceed the annual contribution limit set by the IRS.
- If no tax year indication is made, your contribution will be reported as a current year contribution.

Contribution Type (select one)

- Traditional IRA/Rollover IRA/SEP-IRA Roth IRA SEP Employer SARSEP Employer SARSEP Employee

Check Number

Dollar Amount

Tax Year

B. Rollovers/Transfer Detail

Important Note:

- Rollovers and Transfers do not have an imposed dollar limit threshold.
- It is important to understand the differences between Transfers and Rollovers, please see the detail below.

Rollover/Transfer Type (select one)

- Direct Rollover** - Election for deposit of assets that were distributed from an employer sponsored retirement plan (e.g., 401(k), 403(b), profit-sharing plan, etc.) and made directly payable to your IRA.
- 60-Day Rollover** - Election for deposit of assets that were distributed from an employer-sponsored plan or an IRA that were made directly payable to you. You can only roll over one IRA distribution in any 12-month period, regardless of the number of IRAs you own or distributions you take (i.e., one 60-day IRA-to-IRA rollover in a 12-month period).
- Direct Transfer** - Election for deposit of assets that were distributed from an IRA and made directly payable to an IRA of the same type (i.e., Traditional IRA assets must transfer to another Traditional IRA). You may take an unlimited number of transfers between IRAs of the same type.

IRA Type (select one)

- Traditional Roth SEP/SARSEP

Check Number

Dollar Amount

INVESTMENT AND INSURANCE PRODUCTS ARE:

- NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
- NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
- SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

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3. Account Holder Signature

I certify that all proceeding information and instructions are true and correct. I authorize the accepting custodian JPMS to process the deposit of assets to my IRA according to the instructions provided on my behalf. I certify that no tax or legal advice has been provided to me by JPMS. All decisions regarding this transaction are my own and I expressly assume all responsibility for JPMS' execution of these instructions and hold JPMS harmless of any resulting liabilities.

Account Holder Signature

Date (mm/dd/yyyy)

Please keep a copy of this form for your records.

After reviewing and signing this form, please select how you'd like to submit it:

- **Mailing Instructions** - send the form and check or additional attachments to:

Regular Mail:

J.P. Morgan Securities LLC

P.O. Box 21002

New York, NY 10087-1002

Overnight Delivery:

JPMorgan Chase - Lockbox Processing

Attn: JPMS LOCKBOX 21002

7th Floor East

4 Chase Metrotech Center

Brooklyn, NY 11245

Questions? Please call us at (800) 392-5749.