

# Fee Schedule for Brokerage Accounts

## Please Read Carefully

This schedule contains information about the fees and charges that apply to your account and your transactions. Please note that fees and other information are subject to change without notice.

<b>ANNUAL ACCOUNT FEES</b>	Brokerage Account <sup>1</sup>	<b>\$50</b> – How to avoid this fee: Be a Chase Private Client; \$25,000+ in combined investment balances; transact a commissionable trade in a calendar year; \$50,000+ Chase Deposit Balance
	Retirement Brokerage Account (IRAs and SEPs) <sup>1</sup>	<b>\$30</b> – How to avoid this fee: Be a Chase Private Client; \$10,000+ in combined investment balances; \$25,000+ Chase Deposit Balance
<b>ADMINISTRATIVE FEES</b>	Brokerage Account Transfer & Termination	<b>\$75- Applies when all assets are transferred out of the account</b>
	Retirement Account Transfer & Termination	<b>\$75- Applies when all assets are transferred out of the account</b>
	Confirm Postage/Handling <sup>1</sup>	<b>\$3 per confirm</b> – This fee does not apply to Chase Private Clients or paperless clients.
	Overnight/Express Mail	<b>\$10 per item</b> – This fee does not apply to Chase Private Clients.
	Wire Transfer <sup>2</sup>	<b>\$25 per wire</b> – This fee does not apply to Chase Private Clients.
	Stop Payments	<b>\$30 per item</b> – This fee does not apply to Chase Private Clients.
	Check Returns	<b>\$12 per check</b> – This fee does not apply to Chase Private Clients.
	Pre-Payment Advance Before Trades Settles & Late Payment/Cash Due Interest <sup>3</sup>	Prime + 4.75%
Alternative Investment Administration <sup>4</sup>	<b>\$250 per investment, per year</b> – This fee does not apply to Chase Private Clients.	
<b>PHYSICAL CERTIFICATE FEES</b>	Legal Transfers	<b>\$25 per certificate</b> – This fee does not apply to Chase Private Clients.
	Safekeeping	<b>\$10 per position, per month</b> – This fee does not apply to Chase Private Clients.

All of the above fees will be charged either to your linked bank account or brokerage account.

Note: Additional Foreign Security Fees may be charged as incurred from agent banks.

Other fees and costs, including fees intended to offset fees charged by regulatory bodies and costs for foreign currency transactions, foreign clearing charges, and safekeeping may apply.

<sup>1</sup> Fees do not apply to managed accounts. Other exclusions may apply.

<sup>2</sup> This fee does not apply to internal wire transfers.

<sup>3</sup> Information on Prime Rate: <https://www.bankrate.com/rates/interest-rates/wall-street-prime-rate/>

<sup>4</sup> Includes limited partnerships, private equity funds, hedge funds, REITs, etc.

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 INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

# Commission Schedule for Brokerage Accounts

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<b>STOCKS &amp; EXCHANGE TRADED FUNDS</b>	1% of principal. Minimum commission of \$25.00	
<b>STOCKS &amp; ETFS VIA CHASE.COM</b>	\$0 per trade	
<b>OPTIONS<sup>1</sup></b>	Premium Price	Fee per contract
	\$0.01-\$0.49	\$1.00 per contract
	\$0.50-\$0.99	\$2.00 per contract
	\$1.00 and Over	\$4.00 per contract
	Minimum commission of \$25.00	
<b>FIXED INCOME</b>	Fixed Income securities are typically purchased on principal basis, and are subject to a markup (if you are a buyer) or markdown (if you are a seller) charged by JPMS LLC. Transactions involving municipal securities in which J.P. Morgan cannot determine a fair price may be charged a commission as opposed to a markup or markdown. Your advisor can provide you with the markup, markdown, or commission charged on fixed income securities.	
<b>UNIT INVESTMENT TRUSTS AND VARIABLE INSURANCE</b>	Read your prospectus for complete details regarding the sales loads, surrender charges, and other fees for such products. There are no additional transaction fees applied for purchases or redemptions of such products.	
<b>STRUCTURED NOTES</b>	For new issues, read your offering documents for complete details on the offering price, which includes a selling concession. In cases where structured products are called before maturity, fees are not rebated.	
<b>MUTUAL FUNDS<sup>2</sup></b>	Read your prospectus for complete details regarding the sales load, redemption fees, and other fees for such products. There is no additional transaction fees applied for purchases or redemptions of such products.	
<b>MARGIN</b>	Speak with your J.P. Morgan Advisor for current rates.	

All of the above fees will be charged either to your linked bank account or brokerage account.

Other fees and costs, including fees intended to offset fees charged by certain regulatory bodies and costs for foreign currency transactions, foreign clearing charges, and safekeeping may apply.

<sup>1</sup> Options are not suitable for all investors.

<sup>2</sup> **Investors should carefully consider the investment objectives and risks, as well as charges and expenses of the mutual fund before investing. To obtain a prospectus, contact your Investment Representative or visit the fund company's website. The prospectus contains this and other information about the mutual fund. Read the prospectus carefully before investing.**

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