

J.P. Morgan Securities LLC
Member FINRA and SIPC

Instructions to submit this form are provided on the last page.

Use this form to

- Certify that the J.P. Morgan Securities LLC (**JPMS**) accounts and provided documents belong to the same person despite different account holder/beneficiary names.

What you need to know

- This form may not be used to request a legal name change (e.g., due to marriage or divorce).
- Keep a copy of this request for your records.
- For best results, complete this form using Adobe Reader. You will need to print a copy for your signature.
- For your protection, a representative may call you to confirm this request before it is processed.

1. Tell Us About The Account

Account Holder Name(s)

Account Number

2. Tell Us About the Differences In Your Name

I hereby certify that

Legal Name (as it appears on the official ID presented for identity verification)

and

Name Variation (as it appears on file with JPMS)

are one and the same person.

My date of birth and Social Security number are as follows:

Date of Birth (month/day/year)

Social Security Number

By signing this, I hereby certify that I can be identified by the aforesaid names and any and all documents or information pertaining to the aforesaid names refer to me. I have executed and delivered this certification to JPMS voluntarily. I understand that JPMS will rely upon this certification, and I indemnify and hold harmless JPMS from and against any losses incurred by it arising from their reliance on this certification.

3. Tell Us About Your Request Type

Select one of the following options:

- ☐ Account Maintenance
- ☐ Physical Security Deposits - name on certificate differs from the name listed on the account
- ☐ Estate/Beneficiary-Related Transactions

INVESTMENT AND INSURANCE PRODUCTS:

• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE

4. Signatures

Signature (as it appears on the official ID presented to the notary)
Date (month/day/year)
Signature (name variation, as it appears on file with JPMS)
Date (month/day/year)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy or validity of that document.

STATE OF _____)
COUNTY OF _____) SS:
Subscribed, sworn to and acknowledged before me by

Name of Document Signer (above)

this _____ day of _____ ,
Day Month Year

Signature of Notary Public

My commission expires _____

Place Stamp Here

Submission Instructions

Questions? Please call us at (800) 392-5749.

For Account Maintenance:

After reviewing and signing this form, you can submit it by:

- **Fax** - send the form and any attachments to (800) 805-3909
- **Secure Message** - For instructions on how to submit this request online by [Secure Message](#), visit www.chase.com/brokerageforms.
- **Regular or Overnight Mail** -
J.P. Morgan Securities LLC
Attn: Account Processing
Mail Code: IL1-0291
10 S. Dearborn St.
Chicago, IL 60603

For Physical Security Deposits:

After reviewing and signing this form, you can submit it by:

Regular or Overnight Mail to:

J.P. Morgan Securities LLC
Attn: JPMS Physical Securities Processing Dept.
Mail Code: NY1-C060, 3rd Floor East
4 Chase Metrotech Center
Brooklyn, NY 11245-0001

For Estate/Beneficiary Related Transactions:

After reviewing and signing this form, you can submit it by:

- **Fax:** Send the form and any attachments to (866) 786-4784
- **Secure Message** (For Brokerage Accounts Only): For instructions on how to submit this request online by [secure message](#), visit www.chase.com/brokerageforms.
- **Regular or Overnight Mail** (include form and any attachments):
J.P. Morgan Securities LLC
Attn: Estate Services
Mail Code: IL1-0817
10 S. Dearborn St.
Chicago, IL 60603