

J.P. Morgan Securities LLC  
Member FINRA and SIPC

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J.P. Morgan Securities LLC  
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*A step-up in cost basis  
applies the calculated date  
of death security values as  
the beneficiaries' cost  
basis.*

*Step-up cost basis is  
applied to the securities in  
the decedent's account  
PRIOR to a distribution or  
sell transaction.*

**Use this form to**

- Request the value your account was on a specific date.
- Request a step-up in cost basis.

**What you need to know**

- Date of death valuations are calculated using the mean average price method, which takes the high and low prices of each security on the date requested and averages their values.
- Point in time valuations are calculated based on the closing price methodology, which uses the market's closing price of each security on the date requested.
- While information will be obtained from sources we consider to be reliable, J.P. Morgan Securities LLC ("JPMS") does not guarantee its accuracy or use for a particular purpose, and such information may be incomplete or condensed. Clients should make use of their statement for additional information.
- JPMS does not provide tax or legal advice. You should consult with your tax or legal professional before making any decisions.
- For your protection, a representative may call you at the number on file for your account to confirm this transaction before it is processed.
- A copy of the death certificate must accompany this form when the request is due to death.
- Executors must provide a court appointment.

**1. Tell Us About Your Account**

Account Holder Name

Account Number

**2. Valuation Details**

What type of valuation are you requesting?

- Date of Death (Mean Average Pricing) A death certificate is needed to process this request.
- Point in time (Closing Price)

Valuation Date (month/day/year)

Account Number that Held the Assets on the Valuation Date

**3. Request to Step Up Cost Basis (Date of Death valuations only)**

What type of step-up in cost basis are you requesting?

- No step-up
- Half step-up (all executors/beneficiaries must sign)
- Full step-up (all executors/beneficiaries must sign)

If the securities have already transferred to another JPMS account, we need this form and a Letter of Instruction, with the accounts holding the securities provided, signed by all executors/beneficiaries.

**INVESTMENT AND INSURANCE PRODUCTS ARE:**

- NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
- NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
- SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED

4. Signature(s)

To have a step-up in cost basis applied, all executors/beneficiaries must sign this form. If there are more than two executor/beneficiary signatures needed, please submit an additional copy of this form.

[Signature Line]

Primary Account Holder Name

[Signature Line]

Primary Account Holder Signature

[Date Line]

Date (month/day/year)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF _____ ) COUNTY OF _____ )	) SS: [Place Stamp Here]
Subscribed, sworn to and acknowledged before me by _____ Name of Document Signer (above),	
this _____ day of _____, _____.	
Day Month Year	
_____ Signature of Notary Public	My commission expires _____

Additional Signer

[Signature Line]

Additional Signer Name

[Signature Line]

Additional Signer Signature

[Date Line]

Date (month/day/year)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF _____ ) COUNTY OF _____ )	) SS: [Place Stamp Here]
Subscribed, sworn to and acknowledged before me by _____ Name of Document Signer (above),	
this _____ day of _____, _____.	
Day Month Year	
_____ Signature of Notary Public	My commission expires _____

[Signature Line]

Your Name

[Signature Line]

Your Account Number