

### J.P. Morgan Securities LLC

Member FINRA and SIPC

You can submit this form and any attachments by:

#### Secure Message

Visit [www.chase.com/brokerageforms](http://www.chase.com/brokerageforms) for instructions on how to submit this request by Secure Message.

#### Fax

(800) 805-3909

#### Mail

J.P. Morgan Securities LLC  
Attn: Acct. Maintenance  
Mail Code: IL1-0291  
10 S. Dearborn St.  
Chicago, IL 60603-5506

If you need help, contact our Client Service Center at (800) 392-5749 or submit a Secure Message through [chase.com](http://chase.com).

#### According to the IRS

**Form W-9 instructions**, if you are only submitting this form for an account you hold in the United States, you may leave the Exemption from FACTA Reporting code field blank.

**This form can't be used on** checking, savings or credit card accounts.

**If divorce decree does not state that the name is being restored**, please provide a copy of current driver's license.

### Use this form to

- Request a change in the name on your brokerage account.

### What you need to know

- This request cannot be used to change the ownership for your account.
- Legal documentation must be from a U.S. government source.
- We cannot process a name change with only a copy of your Social Security card.
- The name on the Name Change Request form must match the name on all provided supporting documents.
- For your protection, a representative may call you to confirm this transaction before it is processed.
- For best results, complete this form using Adobe Reader. You will need to print a copy for your signature.
- Keep a copy of this request for your records.

## 1. Tell Us About Your Account

<input type="text"/>	<input type="text"/>
Account Holder Former Name	Account Number
<input type="text"/>	<input type="text"/>
Exemption from FACTA Reporting Code (if any)	Social Security or Tax ID Number (required)

## 2. Tell Us the Reason(s) for Your Name Change

- Marriage** (Please attach a copy of your marriage certificate or valid government issued ID and Social Security card.)
- Divorce** (Please attach a copy of your divorce decree or valid government issued ID and Social Security card.)
- Legal Name Change** (Please attach a copy of your Court Order or valid government issued ID and Social Security card.)
- Second B-Notice** (Please attach supporting legal documents or valid government issued ID and a copy of your Social Security card.)
- First or Middle Name Correction** (Please attach a copy of your passport or birth certificate or valid government issued ID and Social Security card.)
- Naturalization** (Please attach a copy of your Certificate of Naturalization and Immigration Petition for Name Change or valid government issued ID and Social Security card.)

## 3. Tell Us About Your Requested Name Change

<input type="text"/>
First Name
<input type="text"/>
Middle Name
<input type="text"/>
Last Name
<input type="text"/>
Suffix

**INVESTMENT AND INSURANCE PRODUCTS:**  
• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY  
• NO BANK GUARANTEE • MAY LOSE VALUE

### 4. Signature

**Certification: Under penalties of perjury, the Primary Account Holder, Entity or the Minor/Protected Person named above certifies that:**

1. My correct taxpayer identification number is shown on this form.
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest and dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.
3. I am a U.S. citizen or other U.S. person (as defined in the Form W-9 instructions).
4. The Foreign Account Tax Compliance Act (**FATCA**) code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

(Note: If you have been notified by the IRS that you are currently subject to backup withholding for failure to report all interest and dividends on your tax return, you must cross out item 2 above). **The IRS does not require your consent to any provisions of this document other than the certifications required to avoid backup withholding.**

Account Holder Signature

Date (month/day/year)

---

Your Name

Your Account Number